



PATENT

1765\$
Docket No. 1232-4756

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): UNNO et al

Group Art Unit: 1765

Serial No.: 09/934,947

Examiner: Matthew A. Anderson

Filed: August 22, 2001

For: OPTICAL ELEMENT AND MANUFACTURING METHOD THEREFOR
CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))

Mail Stop IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

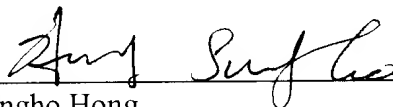
I hereby certify that the attached:

1. Check for \$180.00;
2. Information Disclosure Statement;
3. Form-1449;
4. 16 cited references on the Form-1449; and
5. Return postcard receipt

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: May 16, 2003

By: 
Sungho Hong

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile



Docket No. 1232-4756 ⁴ //

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): UNNO et al
Group Art Unit: 1765
Serial No.: 09/934,947
Examiner: Matthew A. Anderson
Filed: August 22, 2201
For: OPTICAL ELEMENT AND MANUFACTURING METHOD THEREFOR

INFORMATION DISCLOSURE STATEMENT

Mail Stop IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are attached ☐ were filed in related application U.S. Serial No(s) _____, filed _____, respectively.

- ☐ This document is being filed within three (3) months of the filing date of the application
- ☒ A check for the requisite fee of \$180 is enclosed.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☐ This document is being filed prior to a first Office Action
- ☒ This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-4756. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

05/21/2003 CNGUYEN 00000053 09934947

01 FC:1806

180.00 OP

Dated: May 16, 2003

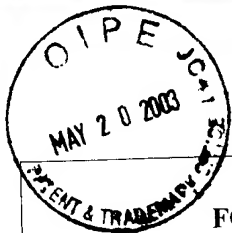
Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

By: _____

Matthew K. Blackburn
Matthew K. Blackburn
Registration No. 47,428

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile



FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Attorney Docket:
1232-4756Serial No.:
09/934,947Applicant:
UNNO et alFiling Date:
August 22, 2001Group Art Unit:
1765

U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Name	Class	Sub-Class	Filing Date
	5,867,315	2/1999	Koike et al	359		
	4,215,288	7/1980	Kato et al	313		
	5,042,922	8/1991	Pepper	359		
	2,934,993	5/1960	Chromy	88		

FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Country	Class	Sub-Class	Translation
	05-27200	2/1993	Japan			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	2000086394	3/2000	Japan			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	0 942 297 A2	9/1999	Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
	0 942 297 A3	9/1999	Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
	01/02799	1/2001	WO			<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER DOCUMENTS (Including Author, Title, Date, etc.)

	International Search Report dated 01/10/2003.
	Written Opinion dated 03/24/2003.
	Invitation to pay additional fees dated 10/16/2002.
	Invitation to restrict dated 02/11/2003.
	Notification of transmittal of the international search report dated 01/23/2003.
	English abstract for JP05-27200.
	English abstract for JP2000-86394.
Examiner	Date Considered
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	